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Worldwide Cloud Prediction Algorithms

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This report describes the major algorithms included in the Worldwide Cloud Prediction Model (WCPM). A lack of data supplied by DSWA precluded code development beyond a feasibility level. Algorithm performance is presented in the project final report (Poehls, Crandall, O'Rourke and Heikes; 1997).

The forecast is designed around a unified neural network with weather inputs representing *advection*, *persistence*, and *evolution* of clouds. Cloud observation data is taken from SERCAA level 3 nephanalysis. NOGAPS forecasts are used for the meteorological parameter inputs. The adopted pixel-by-pixel approach assumes that a forecast is possible based solely upon the past, current and approaching clouds. Each pixel is only loosely connected to surrounding pixels through geographic inputs. No formal synoptic weather inputs are employed.

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13. ABSTRACT (Continued)

The major pieces are the neural network itself and the advection algorithm utilized to locate data in space and time. All other algorithms provide either neural network input or training data. The general form, the training process, and the final input vectors to the neural network are detailed. The persistence and evolution algorithms actually represent the final input choices for specific space-time data. Although separately described, there was never any intention that the algorithms would perform as stand alone modules.

CONVERSION TABLE

Conversion factors for U.S. Customary to metric (SI) units of measurement

MULTIPLY ⟶	BY ⟶	TO GET
TO GET ⟵	BY ⟵	DIVIDE

angstrom	1.000 000 x E -10	meters (m)
atmosphere (normal)	1.013 25 x E +2	kilo pascal (kPa)
bar	1.000 000 x E +2	kilo pascal (kPa)
barn	1.000 000 x E -28	meter^2 (m^2)
British thermal unit (thermochemical)	1.054 350 x E +3	joule (J)
calorie (thermochemical)	4.184 000	joule (J)
cal (thermochemical)/cm^2	4.184 000 x E -2	mega joule/m^2 (MJ/m^2)
curie	3.700 000 x E +1	*giga becquerel (GBq)
degree (angle)	1.745 329 x E -2	radian(rad)
degree Fahrenheit	$t_K = (t^o f + 459.67)/1.8$	degree kelvin (K)
electron volt	1.602 19 x E -19	joule (J)
erg	1.000 000 x E -7	joule (J)
erg/second	1.000 000 x E -7	watt (W)
foot	3.048 000 x E -1	meter (m)
foot-pound-force	1.355 818	joule (J)
gallon (U.S. liquid)	3.785 412 x E -3	meter^3 (m^3)
inch	2.540 000 x E -2	meter (m)
jerk	1.000 000 x E +9	joule (J)
joule/kilogram (J/kg) (radiation dose absorbed)	1.000 000	Gray (Gy)
kilotons	4.183	terajoules
kip (1000 lbf)	4.448 222 x E +3	newton (N)
kip/inch^2 (ksi)	6.894 757 x E +3	kilo pascal (kPa)
ktap	1.000 000 x E +2	newton-second/m^2 (N-s/m^2)
micron	1.000 000 x E -6	meter (m)
mil	2.540 000 x E -5	meter (m)
mile (international)	1.609 344 x E +3	meter (m)
ounce	2.834 952 x E -2	kilogram (kg)
pound-force (lbs avoirdupois)	4.448 222	newton (N)
pound-force inch	1.129 848 x E -1	newton-meter (N m)
pound-force/inch	1.751 268 x E +2	newton/meter (N/m)
pound-force/foot^2	4.788 026 x E -2	kilo pascal (kPa)
pound-force/inch^2 (psi)	6.894 757	kilo pascal (kPa)
pound-mass (lbm avoirdupois)	4.535 924 x E -1	kilogram (kg)
pound-mass-foot^2 (moment of inertia)	4.214 011 x E -2	kilogram-meter^2 (kg m^2)
pound-mass/foot^3	1.601 846 x E +1	kilogram/meter^3 (kg/m^3)
rad (radiation dose absorbed)	1.000 000 x E -2	**Gray (Gy)
roentgen	2.579 760 x E -4	coulomb/kilogram (C/kg)
shake	1.000 000 x E -8	second (s)
slug	1.459 390 x E +1	kilogram (k)
torr (mm Hg, 0°C)	1.333 22 x E -1	kilo pascal (kPa)

*The becquerel (Bq) is the SI unit of radioactivity; 1 Bq = 1 event/s.
**The Gray (Gy) is the SI unit of absorbed radiation.

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SECTION 1
INTRODUCTION

This report presents a description of the final algorithms included in the Worldwide Cloud Prediction Model (WCPM) developed by Pacific-Sierra Research Corporation. Existing code and algorithms are representative of development through feasibility demonstration on a regional basis. Development beyond a feasibility level was not possible due to a lack of data supplied by DSWA. Examples of algorithm performance and skill scores results are presented in Poehls, Crandall, O'Rourke and Heikes (1997).

The forecast code is designed around a unified NN with major weather inputs representing *advection* of clouds, *persistence* of clouds, and *evolution* of clouds along with several influence parameters. A pixel-by-pixel neural network (NN) algorithm is adopted as the generalized approach to cloud forecasting. The approach is based upon the assumption that a forecast is possible based solely upon the past, current and approaching clouds to a single pixel. The pixel-by-pixel implementation was chosen to minimize and simplify the data input into the NN. Each pixel is treated separately and is only loosely connected to surrounding pixels through the latitude and longitude inputs. No formal synoptic weather inputs are employed in this approach. The structure of the code is illustrated in Figure 1-1. This final form is somewhat different from
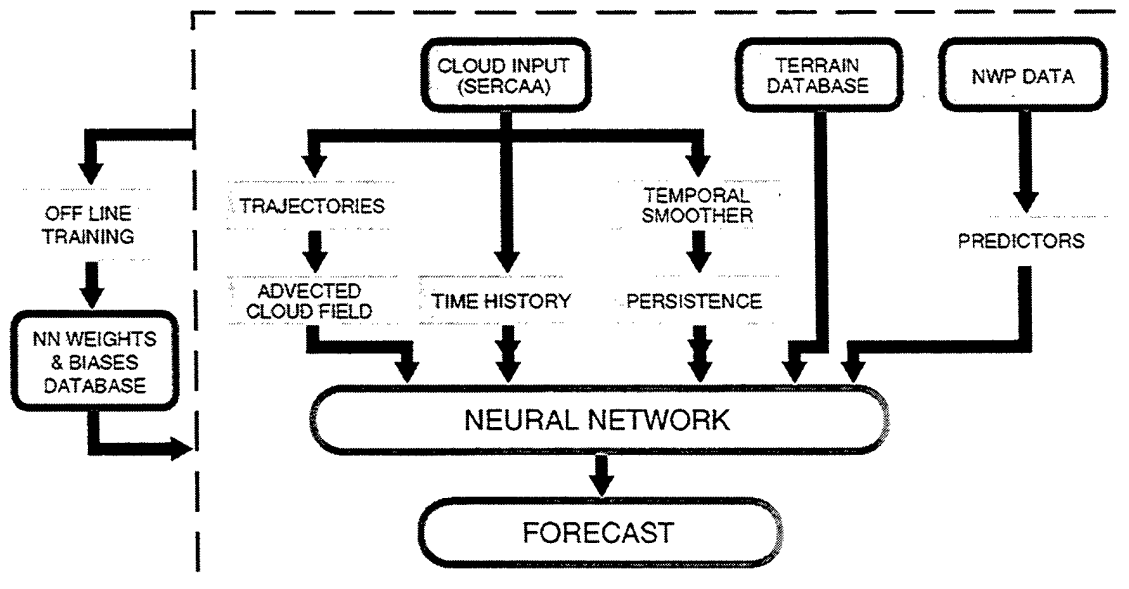
Figure 1-1. General structure of the code.

the original configuration that employed a separate NN for each module input and a NN to combine the individual forecasts. The latter was abandoned in favor of the unified approach to reduce the redundancy of the input parameters.

The weather inputs are divided into two categories: cloud observation data and meteorological parameter input. The *advection* and *persistence* modules represent the former while the *evolution* module represents the latter. For this study's purposes, the cloud observation data is taken from Support of Environmental Requirements for Cloud Analysis and Archive (SERCAA) level 3 nephanalysis. Navy Operational Global Atmospheric Prediction System (NOGAPS) numerical analysis and forecasts are used for the meteorological parameter inputs

The model will be described below in its final form, that is, merged into a single NN. The major pieces of the total process will be described in order of decreasing importance. The primary pieces are the NN itself and the advection algorithm which is pervasively utilized to identify and locate data in space and time. The NN is the dominant piece with all other algorithms directed toward providing either input or training data to the NN. The persistence and evolution algorithms are actually direct results of the advection process. One should therefore remember that although the algorithms are separately described, there was never any intention that they would perform as stand alone modules. Finally, although not directly associated with the forecast algorithm, the definition and calculation of skill scores will be discussed in Section 6.

SECTION 2
NEURAL NETWORK ALGORITHM

The NN will be discussed in three parts. First, the general form of the NN is presented. Second, the training process is described. Third, the final selection of the input vectors was made based upon NN prediction performance. All are discussed in the following sections.

2.1 NEURAL NETWORK DESIGN.

The NN used in this project is the version of a feed-forward back-propagation (FFBP) originally written in C by Caudill (1994). Our version has been translated into FORTRAN 77. The NN is completely described in Caudill (1994). The FORTRAN code as we used it is listed in Section 2.4 of this document. This project did not progress beyond the use of the FFBP NN in its general form due to a severe lack of training data.

The fully-connected, feed-forward-back-propagation NN shown in Figure 2-1 was adopted for use on this project. The NN has 28 (the final number of inputs) input nodes, two hidden-layers
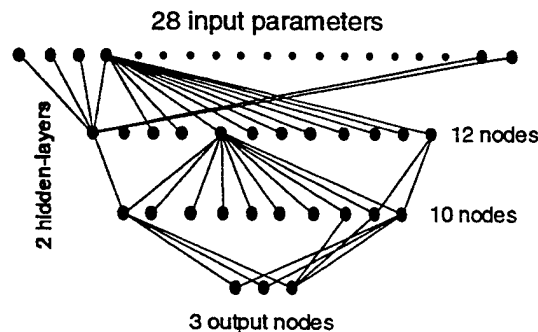
Figure 2-1. Neural network configuration.

(12 and 10 nodes each) and three output nodes for a total of 430 degrees of freedom. Several other variations on the number of hidden layers and the number of nodes in the hidden-layers were attempted. This was by no means an exhaustive study but several trends pointed toward the current selection. Greatly increasing the number of nodes in the hidden-layers significantly improved the training error but not the prediction error. A single hidden-layer performed more poorly. Reducing the hidden-layer nodes degraded the prediction capability.

2.2 NEURAL NETWORK TRAINING.

Training takes place on a batch of input vectors selected at random from the population of training vectors. The objective of training is to reduce the sum squared difference between the NN output and target cloud fields. The weight/bias set giving the least error is sought using a line minimization approach. Line minimization attempts to quickly hunt down the minimum of a two-dimensional curve by successively fitting parabolas to a region that brackets the minimum. This is usually more efficient than iterative methods where the minimum is found by taking a series of steps in the direction of greatest decreasing error (gradient descent), particularly if the minimum lies within a broad, shallow region of the curve. The error surface is actually multidimensional, the dimension depending on the number of weights and biases in the network. The search for a global minimum on the multidimensional error surface is reduced to a series two-dimensional searches by iteratively finding the minimum in first one direction, then another. Gradient descent moves in the direction of maximal error reduction. We employ a more efficient search that proceeds in the so-called conjugate gradient direction, which is a compromise between the previous search direction and that of gradient descent. The path defined by conjugate gradient directions tends to approach the minimum smoothly, eliminating inefficient zigzags inherent in the gradient descent approach.

The NN was extensively trained on the best and longest data set, the first six days of EMDA data (days 73 through 78). The following procedure was used:

1. An input file was created for all descriptors of each available (some were missing) hourly image.

2. All pixels were randomly selected from the first three days of data.

3. The NN was trained for 100 iterations on this training set.

4. The process was repeated for the second three days of data but the training was started with the previously obtained nodal weights.

The above procedure guaranteed that training included a distribution of available latitudes, longitudes, times of day and land types. (Dividing the data into two three-day pieces was based upon a computer limitation.) The NN was trained on a total of approximately 500,000 independent input vectors.

Training was stopped after 100 iterations in all cases. It was found that 95% of the training was accomplished in the first 25 to 35 iterations. Little improvement in training was realized after that point. In general, the training error varied from 15 to 20% when raw data was used as input; a 5% improvement was realized when median filtered data was used for training.

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2.3 DATA VECTOR DEFINITION.

The final input vector definition was selected based upon an input parameter sensitivity study. The most straightforward method of determining which input parameters are important is to selectively omit parameters from the training process (Butler and Meredith, and Stogryn, 1996). The removal of a parameter can affect NN performance in three ways: 1) if the parameter is important, the NN performance is degraded, 2) if the parameter is unimportant, the NN performance is unchanged, and 3) if the parameter acts like a noise source, the NN performance is improved. Parameters that fall into the last category should be eliminated. Parameters that fall into the second category should be strongly considered for removal because their inclusion increases the training requirements and adds undesired degrees-of-freedom to the network.

A detailed study of all possible parameter combinations was obviously not performed. Instead, the study focused on the persistence input, the evolution parameters, and the influence parameters (latitude, longitude, land type, elevation, etc.). Table 2-1 presents the qualitative results of the study. Two important results emerge. First, the *elevation* input degrades the NN performance. Second, individually removing any of the many evolution parameters does not affect the NN performance, however, removing all of the evolution parameters degrades NN performance.

Based upon these results, the evolution parameters were re-evaluated in terms of the applicable atmospheric physics to select a much reduced input parameter set. The primary atmospheric condition that favors cloud formation is the uplift of warm moist air. This can be characterized by the NOGAPS *relative humidity, velocity divergence,* and *temperature* parameters at various altitudes. A new evolution predictor set of relative humidity, velocity divergence and temperature at five altitudes (Sea level, 100, 300, 500 and 850 hPa) was tested. Five altitudes provided redundant information. Two altitudes (850 and 500 mBars) provided the best compromise. Temperature was found to provide no meaningful NN performance and was eliminated from the predictors. The final predictors are listed in Table 2-2. The basic results reflect the most important predictors found by others. In reviewing the predictors (used and not used) it is important to remember that these were chosen based upon NN performance with a particular, limited set of tropical cloud data. Other scenarios might require some additions or adjustments to these predictors. More extensive NN training might reduce the training error and result in additional predictors becoming important.

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Table 2-1. Skill scores for NN forecasts (cloud fraction).

Training Data	Sharp Obs.	Sharp For.	Brier	ESS	G20/20
2 hour forecast					
all*	0.97	0.67	0.12	0.26	0.62
elevation removed	0.97	0.77	0.13	0.33	0.67
lat/lon removed	0.97	0.77	0.14	0.21	0.67
longitude removed	0.97	0.70	0.13	0.32	0.62
land type removed	0.97	0.54	0.15	0.27	0.50
evol removed	0.97	0.71	0.11	0.32	0.65
evol removed except div850	0.97	0.74	0.12	0.32	0.67
elev. evolution <500 removed	0.97	0.74	0.12	0.29	0.66
div @ 850,500 only†	0.97	0.70	0.12	0.22	0.64
rh @ 850,500 only†	0.97	0.71	0.12	0.36	0.65
tmp @ 850,500 only†	0.97	0.74	0.11	0.39	0.67
temp & div @ 850,500 only†	0.97	0.75	0.12	0.33	0.67
rh & div @ 850,500 only†	0.97	0.73	0.12	0.39	0.66
tmp & rh @ 850,500 only†	0.97	0.76	0.12	0.32	0.68
evol @ 850,500 only†	0.97	0.68	0.12	0.29	0.63
3 hour forecast					
all*	0.97	0.67	0.13	0.28	0.60
elevation removed	0.97	0.75	0.13	0.31	0.66
lat/lon removed	0.97	0.78	0.14	0.19	0.67
longitude removed	0.97	0.68	0.13	0.32	0.61
land type removed	0.97	0.51	0.16	0.25	0.47
evol removed	0.97	0.69	0.12	0.32	0.63
evol removed except div850	0.97	0.71	0.12	0.30	0.64
elev. evolution <500 removed	0.97	0.71	0.12	0.31	0.64
div @ 850,500 only†	0.97	0.68	0.12	0.22	0.63
rh @ 850,500 only†	0.97	0.69	0.13	0.33	0.63
tmp @ 850,500 only†	0.97	0.73	0.12	0.31	0.66
temp & div @ 850,500 only†	0.97	0.74	0.12	0.33	0.66
rh & div @ 850,500 only†	0.97	0.71	0.13	0.33	0.64
tmp & rh @ 850,500 only†	0.97	0.75	0.12	0.34	0.67
evol @ 850,500 only†	0.97	0.66	0.12	0.30	0.61
6 hour forecast					
all*	0.97	0.64	0.13	0.30	0.58
elevation removed	0.97	0.75	0.14	0.31	0.66
lat/lon removed	0.97	0.74	0.14	0.17	0.64
longitude removed	0.97	0.68	0.14	0.29	0.60

Table 2-1. Skill scores for NN forecasts (cloud fraction) (Continued).

6 hour forecast (continued)					
land type removed	0.97	0.48	0.18	0.21	0.44
evol removed	0.97	0.61	0.13	0.32	0.57
evol removed except div850	0.97	0.68	0.13	0.28	0.63
elev. evolution <500 removed	0.97	0.67	0.12	0.30	0.61
div @ 850,500 only†	0.97	0.65	0.13	0.27	0.59
rh @ 850,500 only†	0.97	0.67	0.14	0.30	0.60
tmp @ 850,500 only†	0.97	0.73	0.13	0.30	0.66
temp & div @ 850,500 only†	0.97	0.73	0.13	0.26	0.65
rh & div @ 850,500 only†	0.97	0.70	0.14	0.30	0.63
tmp & rh @ 850,500 only†	0.97	0.74	0.13	0.26	0.66
evol @ 850,500 only†	0.97	0.66	0.12	0.19	0.61
9 hour forecast					
all*	0.97	0.59	0.13	0.37	0.55
elevation removed	0.97	0.74	0.13	0.31	0.66
lat/lon removed	0.97	0.77	0.14	0.26	0.66
longitude removed	0.97	0.72	0.14	0.29	0.62
land type removed	0.97	0.49	0.17	0.18	0.45
evol removed	0.97	0.59	0.14	0.27	0.54
evol removed except div850	0.97	0.73	0.13	0.32	0.66
elev. evolution <500 removed	0.97	0.65	0.12	0.38	0.59
div @ 850,500 only†	0.97	0.72	0.12	0.24	0.65
rh @ 850,500 only†	0.97	0.69	0.14	0.27	0.61
tmp @ 850,500 only†	0.97	0.78	0.13	0.33	0.68
temp & div @ 850,500 only†	0.97	0.71	0.13	0.22	0.63
rh & div @ 850,500 only†	0.97	0.71	0.13	0.32	0.64
tmp & rh @ 850,500 only†	0.97	0.73	0.13	0.33	0.65
evol @ 850,500 only†	0.97	0.70	0.12	0.32	0.64
12 hour forecast					
all*	0.97	0.62	0.13	0.28	0.56
elevation removed	0.97	0.72	0.13	0.33	0.65
lat/lon removed	0.97	0.81	0.15	0.17	0.67
longitude removed	0.97	0.75	0.14	0.27	0.64
land type removed	0.97	0.57	0.17	0.18	0.51
evol removed	0.97	0.62	0.13	0.25	0.56
evol removed except div850	0.97	0.81	0.13	0.23	0.71
elev. evolution <500 removed	0.97	0.67	0.12	0.22	0.60
div @ 850,500 only†	0.97	0.74	0.13	0.18	0.65
rh @ 850,500 only†	0.97	0.68	0.13	0.24	0.61
tmp @ 850,500 only†	0.97	0.81	0.13	0.28	0.71
temp & div @ 850,500 only†	0.97	0.72	0.13	0.32	0.64
rh & div @ 850,500 only†	0.97	0.73	0.13	0.30	0.65
tmp & rh @ 850,500 only†	0.97	0.76	0.13	0.30	0.67
evol @ 850,500 only†	0.97	0.76	0.13	0.26	0.68

*This set has a duplicate t0 parameter included.

†These sets have elevation removed

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Table 2-2 Final Predictors

NN Predictors
UT of forecast time
Δt before forecast
Latitude
Longitude
Advected cloud fraction
Advected cloud top temperature
TCF at t_o
CTT at t_o
TCF at t_o-1 hour
CTT at t_o-1 hour
Δt from forecast
TCF at t_o-3 hour
CTT at t_o-3 hour
Δt from forecast
TCF at t_o-6 hour
CTT at t_o-6 hour
Δt from forecast
TCF at t_o-12 hour
CTT at t_o-12 hour
Δt from forecast
Clouds/no clouds flag
Relative humidity @ 850 hPa
Relative humidity @ 500 hPa
Velocity Divergence @ 850 hPa
Velocity Divergence @ 500 hPa
TCF at t_o-24 hours (Averaged over past 3 days)
CTT at t_o-24 hours (Averaged over past 3 days)
Land type

2.4 NEURAL NETWORK CODE LISTING.

A listing for the complete NN algorithm discussed in Section 2.1 is presented here for reference. This is a stand alone code that requires a previously calculated training weight set and properly formatted input data of the type described in Section 2.3. The codes are written in FORTRAN.

```
c*********************************************************************
c
c Feed Forward Backpropagation (FFBP) Neural Network (NN)
c
c Routines:
c
c main                      main control program
c do_forward_pass           propagate input activity forward thru network
c do_out_forward            do output layer, forward pass
c do_mid_forward            do middle layer, forward pass
c display_output     display output of network
c do_back_pass              propagate error activity backward thru network
c do_out_error       compute output layer errors
c do_mid_error              compute middle layer errors
c adjust_out_wts            adjust output layer weights
c adjust_mid_wts            adjust middle layer weights
c check_out_error           check to see if network knows all patterns yet
c initialize_net     do network initialization
c randomize_wts             randomize wts on middle & output layers
c read_data_file     read input/desired out patterns from data file
c display_mid_wts           output the weights on the middle layer neurodes
c display_out_wts           output the weights on the output layer neurodes
c
c*********************************************************************


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c MAIN PROGRAM
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      program main
c-------------------------------------------------------------------
c COMMON BLOCKS
c-------------------------------------------------------------------
      include 'param.cmn'
      include 'wts.cmn'
      include 'wts_old.cmn'
      include 'patts.cmn'
      include 'errs.cmn'
      include 'errs_old.cmn'
      include 'nod_out.cmn'
      include 'io.cmn'


c-------------------------------------------------------------------
```

```
c  OPEN SETUP FILE, INITIALIZE VARIABLES, ETC.
c------------------------------------------------------------------------
      lun_init   = 1
      lun_forefile = 2
      lun_logfile  = 3
      lun_infile   = 4
      lun_wts_in   = 5
      lun_wts_out  = 7

      VECTORS_SEQUENTIAL = 1
      IN_SIZE = 1

      open(lun_init,file='nn_2mlc.ini',form='formatted')

      read(lun_init,*) TRAIN_NETWORK
      read(lun_init,*) PREDICTION
      read(lun_init,*) IN_SIZE
      read(lun_init,*) MID1_SIZE
      read(lun_init,*) MID2_SIZE
      read(lun_init,*) OUT_SIZE
      read(lun_init,*) VECTORS_SEQUENTIAL
      read(lun_init,*) VECTORS_RANDOMLY
      read(lun_init,*) READ_WTS
      read(lun_init,*) RANDOMIZE
      read(lun_init,*) BETA
      read(lun_init,*) BETA_UP
      read(lun_init,*) BETA_UP2
      read(lun_init,*) BETA_DN
      read(lun_init,*) BETA_DN2
      read(lun_init,*) ALPHA
      read(lun_init,*) AL_UP
      read(lun_init,*) AL_UP2
      read(lun_init,*) AL_DN
      read(lun_init,*) AL_DN2
      read(lun_init,*) GAMMA
      read(lun_init,*) STANDARD_ERR
      read(lun_init,*) NUMSETS
      write(*,*) 'Number of sets: ',NUMSETS
      read(lun_init,*) MAX_ITERATIONS

      read(lun_init,'(a14)') infile
      read(lun_init,'(a14)') forefile
      read(lun_init,'(a14)') logfile
      read(lun_init,'(a14)') wts_in
      read(lun_init,'(a14)') wts_out

      close(lun_init)


c------------------------------------------------------------------------
c  INITIALIZE MORE VARIABLES
c------------------------------------------------------------------------
```

```
      T            = 1
      F            = 0
      ERR          = -1
      MAXPATS      = 100000
      PRINT_ERRS   = 0
      PRINT_TO_OUTPUT= 0
      VALMOD       = 1.
      LEARNED_ALL  = F
      STANDARD_ERR = OUT_SIZE*STANDARD_ERR
      BETA_MAX     = 1.0
      ALPHA_MAX    = 1.0


c------------------------------------------------------------------
c INITIALIZE NETWORK
c------------------------------------------------------------------
      call initialize_net()


c------------------------------------------------------------------
c SECTION FOR TRAINING NETWORK
c------------------------------------------------------------------
      IF (TRAIN_NETWORK .eq. T) THEN

      open(lun_logfile,file=logfile,form='formatted')


c------------------------------------------------------------------
c PUT PATTERNS INTO NN MAX_ITERATIONS TIMES
c------------------------------------------------------------------
      do 20 ir=1,MAX_ITERATIONS

        do 21 ip=1,numpats
         if (VECTORS_RANDOMLY .eq. T) ipatt = rand(0)*numpats + 1
          if (ipatt .gt. numpats) ipatt = numpats
         if (VECTORS_SEQUENTIAL.eq. T) ipatt = ip

         call do_forward_pass(ipatt)
         call do_back_pass(ipatt)
         patt_err_check = tot_out_error(ipatt)

         iteration_count = iteration_count + 1
21       continue

        do 22 ipatt=1,numpats
         call do_forward_pass(ipatt)
         call do_out_error(ipatt)
22       continue

        final_err_check_old = final_err_check
        call check_out_error()

        if (final_err_check .gt. final_err_check_old)BETA=BETA*BETA_DN
        if (final_err_check .lt. final_err_check_old)BETA=BETA+BETA_UP
```

```fortran
        if (BETA .gt. BETA_MAX) BETA = BETA_MAX

        if (final_err_check .gt. final_err_check_old)ALPHA=ALPHA*AL_DN
        if (final_err_check .lt. final_err_check_old)ALPHA=ALPHA+AL_UP
        if (ALPHA .gt. ALPHA_MAX) ALPHA = ALPHA_MAX

        err_percent = final_err_check/(numpats*OUT_SIZE)

        if (err_percent .lt. 0.1) then
          BETA_DN = BETA_DN2
          BETA_UP = BETA_UP2
          AL_UP  = AL_UP2
          AL_DN  = AL_DN2
        endif

        write(*,100)ir,iteration_count,err_percent,BETA,ALPHA
100     format(1x,'Pass: ',i4,3x,'it: ',i9,3x,'Err: ',f6.4,3x,'Beta: ',
     $        f5.4,3x,'Alpha: ',f5.4)
        write(*,*)' '

        if (final_err_check .lt. standard_err*numpats)learned_all=T
        if (learned_all .eq. T) goto 99

20   continue
99   continue

c----------------------------------------------------------------------
c  WRITE OUT FINAL NN WEIGHTS
c----------------------------------------------------------------------
        write(*,*)'Posting final weights to file...'
        open(lun_wts_out,file=wts_out,form='formatted')
        call output_mid1_wts()
        call output_mid2_wts()
        call output_out_wts()
        close(lun_wts_out)

c----------------------------------------------------------------------
c  ALLOW OUTPUT NOW TO SEE HOW WELL NN IS DOING
c----------------------------------------------------------------------
        PRINT_TO_OUTPUT = T

        do 40  ipatt=1,numpats
         call do_forward_pass(ipatt)
         call do_out_error(ipatt)
40    continue

        call check_out_error()

        err_percent = final_err_check/(numpats*OUT_SIZE)

        write(*,*) 'Final total error : ',err_percent
```

```fortran
      close(lun_logfile)

c------------------------------------------------------------------
c END OF TRAINING SECTION CONTROL BLOCK
c------------------------------------------------------------------
      ENDIF
c------------------------------------------------------------------
c NN ENGINE - PREDICTION SECTION
c------------------------------------------------------------------
      IF (PREDICTION .eq. T) THEN

         write(*,*)'Producing prediction ....'

         do 50  ipatt=1,numpats
          call do_forward_pass(ipatt)
          call do_out_error(ipatt)
50        continue

         call check_out_error()

         err_percent = final_err_check/(numpats*OUT_SIZE)

         write(*,*) 'Final error : ', err_percent

         open(lun_forefile,file=forefile,form='formatted')

         write(lun_forefile,*)((pred_pats(i,j),j=1,OUT_SIZE),i=1,numpats)
         write(lun_forefile,*)((pat_out(i,j),  j=1,OUT_SIZE),i=1,numpats)

         close(lun_forefile)

         write(*,*)'Prediction complete ....'

c------------------------------------------------------------------
c END OF PREDICTION SECTION CONTROL BLOCK
c------------------------------------------------------------------
      ENDIF

      stop
      end

c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c initialize_net()
c Do all the initialization stuff before beginning
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine initialize_net()

         include 'param.cmn'
         include 'io.cmn'
```

```fortran
      if (READ_WTS .eq. T) then
        open(lun_wts_in,file=wts_in,form='formatted')
        call read_mid1_wts()
        call read_mid2_wts()
        call read_out_wts()
        close(lun_wts_in)
      endif

      if (RANDOMIZE .eq. T) call randomize_wts()

      call read_data_file()

      iteration_count = 1

      return
      end
```

```
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c do_forward_pass(ipatt)
c control function for the forward pass through the network
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
```

```fortran
      subroutine do_forward_pass(ipatt)

      include 'param.cmn'

      call do_mid1_forward(ipatt) ! process forward pass, middle lyr 1
      call do_mid2_forward()      ! process forward pass, middle lyr 2
      call do_out_forward(ipatt)  ! process forward pass, output lyr
      if (PRINT_TO_OUTPUT .eq. T) call display_output(ipatt)

      return
      end
```

```
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c do_mid1_forward(ipatt)
c  process the middle layer's forward pass
c  The activation of middle layer's neurode is the weighted
c  sum of the inputs from the input pattern, with sigmoid
c  function applied to the inputs.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
```

```fortran
      subroutine do_mid1_forward(ipatt)

      include 'param.cmn'
      include 'wts.cmn'
      include 'patts.cmn'
      include 'nod_out.cmn'

      real sum
      integer neurode, i

      do 10 neurode=1,MID1_SIZE
```

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```fortran
      sum = 0.0
      do 11 i=1,IN_SIZE  ! COMPUTE WEIGHTED SUM OF INPUT SIGNALS
        sum = sum + mid1_wts(neurode,i)*pat_in(ipatt,i)
11    continue
      sum = 1./(1.+exp(-GAMMA*sum))
      mid1_out(neurode) = sum
10    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c do_mid2_forward()
c process the middle layer's forward pass
c The activation of middle layer's neurode is the weighted
c sum of the inputs from the input pattern, with sigmoid
c function applied to the inputs.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine do_mid2_forward()

      include 'param.cmn'
      include 'wts.cmn'
      include 'patts.cmn'
      include 'nod_out.cmn'

      real sum
      integer neurode, i

      do 10 neurode=1,MID2_SIZE
        sum = 0.0
        do 11 i=1,MID1_SIZE  ! COMPUTE WEIGHTED SUM OF INPUT SIGNALS
          sum = sum + mid2_wts(neurode,i)*mid1_out(i)
11      continue
        sum = 1./(1.+exp(-GAMMA*sum))
        mid2_out(neurode) = sum
10    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c do_out_forward()
c process the forward pass through the output layer
c The activation of the output layer is the weighted sum of
c the inputs (outputs from middle layer), modified by the
c sigmoid function.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine do_out_forward(ipatt)

      include 'param.cmn'
      include 'wts.cmn'
```

```fortran
      include 'patts.cmn'
      include 'nod_out.cmn'

      real sum
      integer neurode, i

      do 10 neurode=1,OUT_SIZE
        sum = 0.0
        do 11 i=1,MID2_SIZE ! COMPUTE WEIGHTED SUM OF INPUT SIGNALS
          sum = sum + out_wts(neurode,i)*mid2_out(i)
11      continue
        sum = 1./(1.+exp(-sum))
        out_out(neurode) = sum
        pred_pats(ipatt,neurode) = sum
10    continue

      return
      end
```

c~~~~~~
c display_output(ipatt)
c Display the actual output vs. the desired output of the network.
c Once the training is complete, and the
c learned flag set to TRUE,
c   then display_output sends its output to both the screen
c and to a text output file.
c~~~~~~

```fortran
      subroutine display_output(ipatt)

      include 'param.cmn'
      include 'patts.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'
      include 'io.cmn'

      integer i

      write(lun_logfile,*)'patt: ',ipatt
      write(lun_logfile,*)'Desired Output:'
      write(lun_logfile,100)(pat_out(ipatt,i),i=1,OUT_SIZE)
      write(lun_logfile,*)'Actual Output:'
      write(lun_logfile,100)(out_out(i),i=1,OUT_SIZE)
      write(lun_logfile,*)'Error for pattern: ', tot_out_error(ipatt)

100   format(9(f7.5,1x))

      return
      end
```

c~~~~~~
c do_back_pass(ipatt)

```
c  Process the backward propagation of error through network.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
       subroutine do_back_pass(ipatt)

       call do_out_error(ipatt)
       call do_mid2_error()
       call do_mid1_error()
       call adjust_out_wts()
       call adjust_mid2_wts()
       call adjust_mid1_wts(ipatt)

       return
       end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c  do_out_error(ipatt)
c  Compute the error for the output layer neurodes, and current total
c  error.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
       subroutine do_out_error(ipatt)

       include 'param.cmn'
       include 'patts.cmn'
       include 'nod_out.cmn'
       include 'errs.cmn'

       integer  neurode
       real     error_neurode,tot_error

       tot_error = 0.0

       do 10 neurode=1,OUT_SIZE
         out_error(neurode) = pat_out(ipatt,neurode) - out_out(neurode)
         error_neurode      = abs(out_error(neurode))
         tot_error          = tot_error + error_neurode
10     continue

       tot_out_error(ipatt) = tot_error

       return
       end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c  do_mid2_error()
c  Compute the error for the middle layer neurodes
c  This is based on the output errors computed above.
c  Note that the derivative of the sigmoid f(x) is
c   f'(x) = f(x)(1 - f(x))
c  Recall that f(x) is merely the output of the middle
c  layer neurode on the forward pass.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
```

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```fortran
      subroutine do_mid2_error()

      include 'param.cmn'
      include 'wts.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'

      real    sum
      integer neurode, i

      do 10 neurode=1,MID2_SIZE
        sum = 0.0
        do 11 i=1,OUT_SIZE
          sum = sum + out_wts(i,neurode)*out_error(i)
11    continue

c APPLY THE DERIVATIVE OF THE SIGMOID HERE

      mid2_error(neurode)=mid2_out(neurode)*
     $              (1.-mid2_out(neurode))*sum
10    continue

      return
      end

c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c do_mid1_error()
c Compute the error for the middle layer neurodes
c This is based on the output errors computed above.
c Note that the derivative of the sigmoid f(x) is
c    f'(x) = f(x)(1 - f(x))
c Recall that f(x) is merely the output of the middle
c layer neurode on the forward pass.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine do_mid1_error()

      include 'param.cmn'
      include 'wts.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'

      real sum
      integer neurode, i

      do 10 neurode=1,MID1_SIZE
        sum = 0.0
        do 11 i=1,MID2_SIZE
          sum = sum + mid2_wts(i,neurode)*mid2_error(i)
11    continue

c APPLY THE DERIVATIVE OF THE SIGMOID HERE
```

```
      mid1_error(neurode) = mid1_out(neurode)*
     $                (1.-mid1_out(neurode))*sum
10    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c adjust_out_wts()
c Adjust the weights of the output layer.  The error for the output
c layer has been previously propagated back to the middle layer.
c Use the Delta Rule with momentum term to adjust the weights.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine adjust_out_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'wts_old.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'

      integer  weight, neurode
      real     learn,delta,alph

      learn = BETA
      alph  = ALPHA

      do 20 neurode=1,OUT_SIZE
        do 21 weight=1,MID2_SIZE
          delta =learn*out_error(neurode)*mid2_out(weight)
          out_wts(neurode,weight) = out_wts(neurode,weight) + delta +
     $                out_wts_mom(neurode,weight)
          out_wts_mom(neurode,weight) = alph*(out_wts(neurode,weight) -
     $                out_wts_old(neurode,weight))
          out_wts_old(neurode,weight) = out_wts(neurode,weight)
21      continue
20    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c adjust_mid2_wts()
c Adjust the middle layer weights using the previously computed errors.
c We use the Generalized Delta Rule with momentum term
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine adjust_mid2_wts()

      include 'param.cmn'
      include 'wts.cmn'
```

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```
      include 'wts_old.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'

      integer  weight, neurode
      real  learn,alph,delta

      learn = BETA
      alph  = ALPHA

      do 20 neurode=1,MID2_SIZE
        do 21 weight=1,MID1_SIZE
          delta = learn*mid2_error(neurode)*mid1_out(weight)
          mid2_wts(neurode,weight) = mid2_wts(neurode,weight) + delta +
     $                 mid2_wts_mom(neurode,weight)
          mid2_wts_mom(neurode,weight)=alph*(mid2_wts(neurode,weight)-
     $                 mid2_wts_old(neurode,weight))
          mid2_wts_old(neurode,weight)=mid2_wts(neurode,weight)
21      continue
20    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c adjust_mid1_wts()
c Adjust the middle layer weights using the previously computed errors.
c We use the Generalized Delta Rule with momentum term
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine adjust_mid1_wts(ipatt)

      include 'param.cmn'
      include 'patts.cmn'
      include 'wts.cmn'
      include 'wts_old.cmn'
      include 'nod_out.cmn'
      include 'errs.cmn'

      integer weight, neurode
      real  learn,alph,delta

      learn = BETA
      alph  = ALPHA

      do 20 neurode=1,MID1_SIZE
        do 21 weight=1,IN_SIZE
          delta = learn*mid1_error(neurode)*pat_in(ipatt,weight)
          mid1_wts(neurode,weight) = mid1_wts(neurode,weight) + delta +
     $                 mid1_wts_mom(neurode,weight)
          mid1_wts_mom(neurode,weight)=alph*(mid1_wts(neurode,weight)-
     $                 mid1_wts_old(neurode,weight))
```

```
         mid1_wts_old(neurode,weight)=mid1_wts(neurode,weight)
21    continue
20    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c check_out_error()
c Check to see if the error in the output layer is below
c MARGIN*OUT_SIZE for all output patterns. If so, then assume the network
c has learned acceptably well. This is simply an arbitrary measure of how
c well the network has learned.  Many other standards are possible.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine check_out_error()

      include 'param.cmn'
      include 'errs.cmn'

      integer  i

      final_err_check = 0.0

      do 10 i=1,numpats
        final_err_check = final_err_check + tot_out_error(i)
10    continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c check_out_error_patt()
c Check to see if the error in the output layer is below
c MARGIN*OUT_SIZE for all output patterns. If so, then assume the network
c has learned acceptably well. This is simply an arbitrary measure of how
c well the network has learned_many other standards are possible.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine check_out_error_patt(ipatt)

      include 'param.cmn'
      include 'errs.cmn'

      integer  result

      result   = T
      if (tot_out_error(ipatt) .ge. standard_err) result = F

      learned = result

      return
      end
```

```
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c randomize_wts()
c Intialize the weights in the middle and output layers to
c random values between -0.25..+0.25
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine randomize_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'wts_old.cmn'

      integer  neurode,i
      real value

       seed  = 10000
       value = rand(seed)

      do 10 neurode=1,MID1_SIZE
        do 11 i=1,IN_SIZE
          value = rand(0) - 0.5
          mid1_wts(neurode,i) = value*.8
          mid1_wts_old(neurode,i)  = value*.8
          mid1_wts_mom(neurode,i)  = 0.0
11       continue
10     continue

      do 20 neurode=1,MID2_SIZE
        do 21 i=1,MID1_SIZE
          value = rand(0) - 0.5
          mid2_wts(neurode,i) = value*.8
          mid2_wts_old(neurode,i)  = value*.8
          mid2_wts_mom(neurode,i)  = 0.0
21       continue
20     continue

      do 30 neurode=1,OUT_SIZE
        do 31 i=1,MID2_SIZE
          value = rand(0) - 0.5
          out_wts(neurode,i) = value*.8
          out_wts_old(neurode,i)  = value*.8
          out_wts_mom(neurode,i)  = 0.0
31       continue
30     continue

      return
      end


c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c read_data_file()
c Read in the input data file and store the patterns in pat_in
```

```fortran
c  and pat_out.
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine read_data_file()

      include 'param.cmn'
      include 'patts.cmn'
      include 'io.cmn'

      integer  youtsize,totsize
      integer  ipatt
      integer  tot
      integer  iset
      integer  numpats_set

c
c NEW SECTION TO OBTAIN SELECTED PARAMETERS FROM INPUT VECTORS
c
      integer no_vect_elem
      integer elem_ids(47)
      integer out_elem_ids(47)
      real    vect_mask(47)
      real    vect_in(47)

      num_vect_elem = IN_SIZE
      num_out_elem = OUT_SIZE

      open(lun_infile, file='vectmask.ini', form = 'formatted')
      do ielem = 1, num_vect_elem+num_out_elem
        read(lun_infile,*) vect_mask(ielem)
      enddo
      close(lun_infile)

      ielem_cnt = 1
      do 9 ielem=1,num_vect_elem
        if (vect_mask(ielem) .eq. 1) then
            elem_ids(ielem_cnt) =  ielem
            ielem_cnt = ielem_cnt + 1
        endif
 9    continue
      ielem_cnt = ielem_cnt - 1
      write(*,*) ielem_cnt, ' input vector elements flagged for usage'


      ioutelem_cnt = 1
      do ioutelem=num_vect_elem+1,num_vect_elem+num_out_elem
        if (vect_mask(ioutelem) .eq. 1) then
            out_elem_ids(ioutelem_cnt) =  ioutelem
            ioutelem_cnt = ioutelem_cnt + 1
        endif
      enddo
      ioutelem_cnt = ioutelem_cnt - 1
```

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```
        write(*,*) ioutelem_cnt, ' output elements flagged for usage'

c
c READ TRAINING OR FORECAST FILE
c

        open(lun_infile, file=infile, form = 'formatted')

        write(*,*)' '

        patt_cnt = 1

        do 10 iset=1,NUMSETS
          read(lun_infile,*)totsize,youtsize,numpats_set

          write(*,*)'Input  vector size : ',totsize
          write(*,*)'Output vector size : ',youtsize
          write(*,*)'Total set size : ',numpats_set

          do 11 ipatt=1,numpats_set
            read(lun_infile,*) (vect_in(tot),tot=1,totsize+youtsize)

            do 111 ielem=1,ielem_cnt
              pat_in(patt_cnt,ielem) =  vect_in(elem_ids(ielem))
111         continue

            do ioutelem=1,ioutelem_cnt
            pat_out(patt_cnt,ioutelem) = vect_in(out_elem_ids(ioutelem))
            enddo

            patt_cnt = patt_cnt + 1
11        continue

10    continue  ! END OF SET LOOP

        totsize = ielem_cnt
        numpats = patt_cnt - 1

        write(*,*)'Total # vectors    : ',numpats
        write(*,*)' '

        close(lun_infile)

        return
        end

c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c display_mid1_wts()
c  Display the weights on the middle layer neurodes
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
        subroutine display_mid1_wts()
```

```fortran
      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer neurode, weight

      write(lun_logfile,*)'Weights of Middle Layer neurodes: '

      do 10 neurode=1,MID1_SIZE
        write(lun_logfile,*)'Mid Neurode # ',neurode
        do 11 weight=1,IN_SIZE
          write(lun_logfile,*) mid1_wts(neurode,weight)
11      continue
10    continue

      return
      end

c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c display_mid2_wts()
c Display the weights on the middle layer neurodes
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine display_mid2_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer neurode, weight

      write(lun_logfile,*)'Weights of Middle Layer 2 neurodes: '

      do 10 neurode=1,MID2_SIZE
        write(lun_logfile,*)'Mid Neurode # ',neurode
        do 11 weight=1,MID1_SIZE
          write(lun_logfile,*) mid2_wts(neurode,weight)
11      continue
10    continue

      return
      end

c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
c display_out_wts()
c Display the weights on the middle layer neurodes
c~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
      subroutine display_out_wts()

      include 'param.cmn'
      include 'wts.cmn'
```

```fortran
      include 'io.cmn'

      integer neurode, weight

      write(lun_logfile,*)'Weights of Output Layer neurodes: '

      do 10 neurode=1,OUT_SIZE
        write(lun_logfile,*)'Mid Neurode # ',neurode
        do 11 weight=1,MID2_SIZE
          write(lun_logfile,*) out_wts(neurode,weight)
11      continue
10    continue

      return
      end
```

c~~~~~~
c output_mid1_wts()
c~~~~~~

```fortran
      subroutine output_mid1_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer mid1_siz,in_siz

      mid1_siz = MID1_SIZE
      in_siz = IN_SIZE

      write(lun_wts_out,*) mid1_siz
      write(lun_wts_out,*) in_siz
      write(lun_wts_out,*) mid1_wts

      return
      end
```

c~~~~~~
c output_mid2_wts()
c~~~~~~

```fortran
      subroutine output_mid2_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer mid1_siz,mid2_siz

      mid1_siz = MID1_SIZE
      mid2_siz = MID2_SIZE
```

```fortran
      write(lun_wts_out,*) mid2_siz
      write(lun_wts_out,*) mid1_siz
      write(lun_wts_out,*) mid2_wts

      return
      end
```

c~~~~~~
c output_out_wts()
c~~~~~~

```fortran
      subroutine output_out_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer  out_siz,mid2_siz

      out_siz  = OUT_SIZE
      mid2_siz = MID2_SIZE

      write(lun_wts_out,*) out_siz
      write(lun_wts_out,*) mid2_siz
      write(lun_wts_out,*) out_wts

      return
      end
```

c~~~~~~
c read_mid1_wts()
c~~~~~~

```fortran
      subroutine read_mid1_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer  mid1_siz,in_siz

      read(lun_wts_in,*) mid1_siz
      read(lun_wts_in,*) in_siz
      read(lun_wts_in,*) mid1_wts


      return
      end
```

c~~~~~~
c read_mid2_wts()
c~~~~~~

```fortran
      subroutine read_mid2_wts()
```

```fortran
      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer mid1_siz,mid2_siz

      read(lun_wts_in,*) mid2_siz
      read(lun_wts_in,*) mid1_siz
      read(lun_wts_in,*) mid2_wts


      return
      end
```

c~~~~~~
c read_out_wts()
c~~~~~~

```fortran
      subroutine read_out_wts()

      include 'param.cmn'
      include 'wts.cmn'
      include 'io.cmn'

      integer out_siz,mid2_siz

      read(lun_wts_in,*) out_siz
      read(lun_wts_in,*) mid2_siz
      read(lun_wts_in,*) out_wts

      return
      end
```

C   errs.cmn

```fortran
      real mid1_error,mid2_error,out_error

      common /errors/   mid1_error(80),mid2_error(80),out_error(32),
     $            tot_out_error(100000),final_err_check,
     $            final_err_check_old,patt_err_check,
     $            patt_err_check_old
```

C   io.cmn

```fortran
      integer lun_logfile
      integer lun_forefile
      integer lun_infile
      integer lun_wts_in
      integer lun_wts_out
      character*20 logfile
```

```fortran
      character*20  forefile
      character*20  infile
      character*20  wts_in
      character*20  wts_out

      common /io/ lun_forefile,lun_infile,lun_wts_in,
     $      lun_wts_out,lun_logfile,
     $      logfile,forefile,outfile,infile,wts_in,wts_out
```

C *nod_out.cmn*

```fortran
      real mid1_out,mid2_out,out_out

      common /node_outputs/ mid1_out(80),mid2_out(80),out_out(32)
```

C *param.cmn*

```fortran
      integer T
      integer F
      integer ERR
      integer MAXPATS
      integer NUMSETS
      integer IN_SIZE
      integer MID1_SIZE
      integer MID2_SIZE
      integer OUT_SIZE
      real   MARGIN
      integer MAX_ITERATIONS
      integer MAX_PATT_ITERATIONS
      real   STANDARD_ER
      integer VECTORS_SEQUENTIAL
      integer VECTORS_RANDOMLY
      integer EPOCH_TRAINING
      integer READ_WTS
      integer RANDOMIZE
      integer PRINT_ERRS

      integer iteration_count  ! number of passes thru network so far
      integer numpats          ! number of patterns in data file
      integer learned          ! flag_if TRUE, network has a pattern
      integer learned_all      ! flag_if TRUE, network has learned all patterns
      real   BETA
      real   ALPHA
      real   GAMMA
      integer PRINT_TO_OUTPUT
      real   standard_err
      integer ir
      real   valflt
      integer valint
      real   valmod
      real   new_error
```

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```fortran
      real    old_error
      integer patt_cnt

      integer seed

      common /parameters/ T,F,ERR,MAXPATS,NUMSETS,IN_SIZE,MID1_SIZE,
     $          MID2_SIZE,OUT_SIZE,MARGIN,MAX_ITERATIONS,
     $          MAX_PATT_ITERATIONS,STANDARD_ER,
     $          VECTORS_SEQUENTIAL,VECTORS_RANDOMLY,
     $          EPOCH_TRAINING,READ_WTS,RANDOMIZE,
     $          PRINT_ERRS,iteration_count,numpats,
     $          learned,BETA,ALPHA,GAMMA,PRINT_TO_OUTPUT,
     $          standard_err,ir,valflt,valint,valmod,
     $          learned_all,new_error,old_error,patt_cnt,
     $          seed
```

C *patts.cmn*

```fortran
      common /patterns/  pat_in(100000,200),
     $          pat_out(100000,32),
     $          pred_pats(100000,32)
```

C *wts.cmn*

```fortran
      real mid1_wts,mid1_wts_mom
      real mid2_wts,mid2_wts_mom
      real out_wts ,out_wts_mom

      common /weights/   mid1_wts(80,200),mid1_wts_mom(80,200),
     $          mid2_wts(80, 80),mid2_wts_mom(80, 80),
     $          out_wts (32, 80),out_wts_mom (32, 80)
```

C *wts_old.cmn*

```fortran
      real mid1_wts_old,mid2_wts_old,out_wts_old

      common /weights_old/ mid1_wts_old(80,200),mid2_wts_old(80,80),
     $          out_wts_old(32,80)
```

C *errs_old.cmn*

```fortran
      real mid1_error_old,mid2_error_old,out_error_old

      common /errors_old/ mid1_error_old(80),mid2_error_old(80),
     $          out_error_old(32)
```

SECTION 3

ADVECTION ALGORITHM

The cloud advection algorithm went through several incarnations before it was finalized. The earliest approaches were purposely simple:

- Wind vectors were estimated for the previous hour.

- Forecast time wind vectors were obtained by simply multiplying the 1 hour vectors by the forecast time.

- Clouds were moved based upon the vectors.

It was hoped that the NN would correct for poor wind estimates. Instead, it was found that poor wind estimates (when advection actually was the primary process) degraded the performance of the persistence and evolution inputs. Based upon this, the final advection algorithm contained two improvements: (1) a progressive wind vector advection algorithm replaced the simple single wind vector prediction, and (2) a smoothing algorithm was developed for the wind field.

3.1 PROGRESSIVE VECTOR ADVECTION.

The previously employed advection algorithm was simple and efficient for short-term forecasts or wind fields with little curvature. When significant curvature exists, as occurs in flow about a major high or low pressure system, the simple linear approach produces extremely poor results. To rectify this a *progressive vector* advection module was created.

The clouds at a mesh point are advected using the following algorithm illustrated in Figure 3-1:
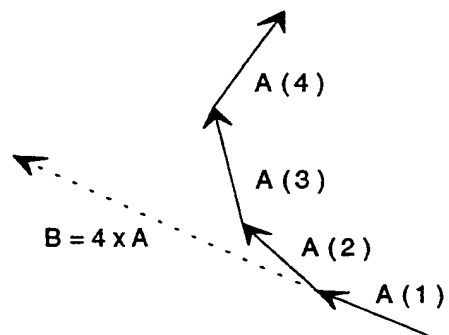

Figure 3-1. In cases of significant curvature to the wind field, the progressive vector method (A) retains more accuracy than the linear extra-polation method (B).

- The wind field for the most recent hour is assumed to be the best estimate of the wind field in the future.

- The clouds at a mesh point are advected forward 1 hour in time to a new mesh point using the wind vector at the current point.

- The wind vector at the new point is used to advect the clouds forward an additional 1 hour in time.

- The previous step is repeated until the desired forecast time is attained.

This procedure better retains the overall shape of the cloud formations as long as the current wind field accurately reflects the future wind field and the clouds are predominately advected (as opposed to evolved).

3.2 WIND VECTOR SMOOTHING.

The correlation analysis results in an inconsistent wind field, e.g. the field is not smooth and vectors often cross. To help alleviate (but not completely eliminate this problem) a smoothing process has been added to the wind field estimate. We have advection data defined on a 2D grid with lots of gaps – cloudless grid points with no good advection estimate. A weighted least squares smoother interpolator was developed.

The input data is on a grid of dimensions $n_x \times n_y$, with grid points at positions $x = 1,2,...,n_x$ and $y = 1,2,...,n_y$. The input data consists of three pieces of data for each grid point: $u(x,y)$ is the x component of the advection, $v(x,y)$ is the y component, and $w(x,y)$ is the weight. w is constructed from the correlation data: for good pixels, w is the correlation value (between 0 and 1 – no negative values); for bad (flagged) pixels, w is set to zero. For flagged pixels we should also set u and v to zero.

The data is fit by a set of smooth 2D basis functions. We'll specify the basis functions later, but for now let n_b be the number of basis functions used, and the basis functions are $B_b(x,y)$ for $b = 1,2,...n_b$, defined for all x and y. The smoothed advection functions are linear superpositions of the basis functions, with some coefficients:

$$u_{smooth}(x,y) = \sum_{b=1}^{n_b} a_b B_b(x,y) \qquad (3.1)$$

$$v_{smooth}(x,y) = \sum_{b=1}^{n_b} b_b B_b(x,y) \qquad (3.2)$$

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The coefficients are determined by doing a weighted fit to the advection data. This is the standard linear least squares fitting result, with weights. For the u data, define the variance

$$\sigma_x^2 \equiv \frac{1}{n_x n_y} \sum_{x=1}^{n_x} \sum_{y=1}^{n_y} w(x,y)[u(x,y) - u_{smooth}(x,y)]^2 \quad . \tag{3.3}$$

Make the following definitions for the scalar UU, the vector BU, and the $n_b \times n_b$ matrix BB:

$$UU \equiv \frac{1}{n_x n_y} \sum_{x,y} w(x,y) u(x,y)^2 \quad . \tag{3.4}$$

$$BU_b \equiv \frac{1}{n_x n_y} \sum_{x,y} w(x,y) B_b(x,y) u(x,y) \tag{3.5}$$

$$BB_{bb'} \equiv \frac{1}{n_x n_y} \sum_{x,y} w(x,y) B_b(x,y) B_{b'}(x,y) \tag{3.6}$$

With these and some math, the variance is

$$\sigma_x^2 = UU - 2\sum_b a_b BU_b + \sum_{b,b'} a_b a_{b'} BB_{b,b'} \tag{3.7}$$

Minimizing this with respect to a_b gives a solution in terms of the inverse of the matrix BB:

$$a_b = \sum_{b'} BB^{-1}{}_{b,b'} \cdot BU_{b'} \quad , \tag{3.8}$$

and with this the variance is

$$\sigma_x^2 = UU - \sum_{b,b'} BU_b \cdot BB^{-1}{}_{b,b'} \cdot BU_{b'} \quad . \tag{3.9}$$

The variance is useful to calculate, because it gives us a feeling for how well we're fitting the data.

If the basis functions were orthogonal, so that

$$BB_{bb'} = \frac{1}{n_x n_y} \sum_{x,y} w(x,y) B_b(x,y) B_{b'}(x,y) \tag{3.10}$$

was zero for $b \neq b'$, then the matrix would be diagonal and the inversion trivial. However, because of the arbitrary weights w in the equation, it is impossible to choose orthogonal basis functions. We will just choose simple basis functions, and have to live with the matrix inversion.

Figures 3-2 and 3-3 show an example calculation for the Mediterranean wind field. First, the north and east components of the wind field are estimated for individual cloudy pixels (Figures 3-2a and c). These are then smoothed and interpolated to produce the wind field used for advection (Figures 3-2b and d). The results of the advection are shown in Figure 3-3. Here, the original (T_0) clouds are advected 12 hours based upon the old and the new smoothed T_0 wind field. The results are compared to truth 12 hours later. Both approaches suffer from the fact that the cloud motion is not dominated by advection throughout the region; the clouds over southern Europe (to the left) are not moving but are evolving. Over northern Africa where advection is more dominant, the new model provides a better advection only forecast.

3.3 ALGORITHM LISTINGS.

Listing for the *progressive vector advection* discussed in Section 3.1 and the *wind vector smoothing* discussed in Section 3.2 are presented here for reference. These are algorithm codes and may require an appropriate driver for data input and output. The codes are written in IDL.

3.3.1 Progressive Vector Advection Listing.

Two routines are listed here. The first, *rurv,* calculates the raw wind vectors and flags for a given set of successive one hour cloud images. The second, *correlat,* simply calculates the correlation coefficient between two images.

(a)   (b)
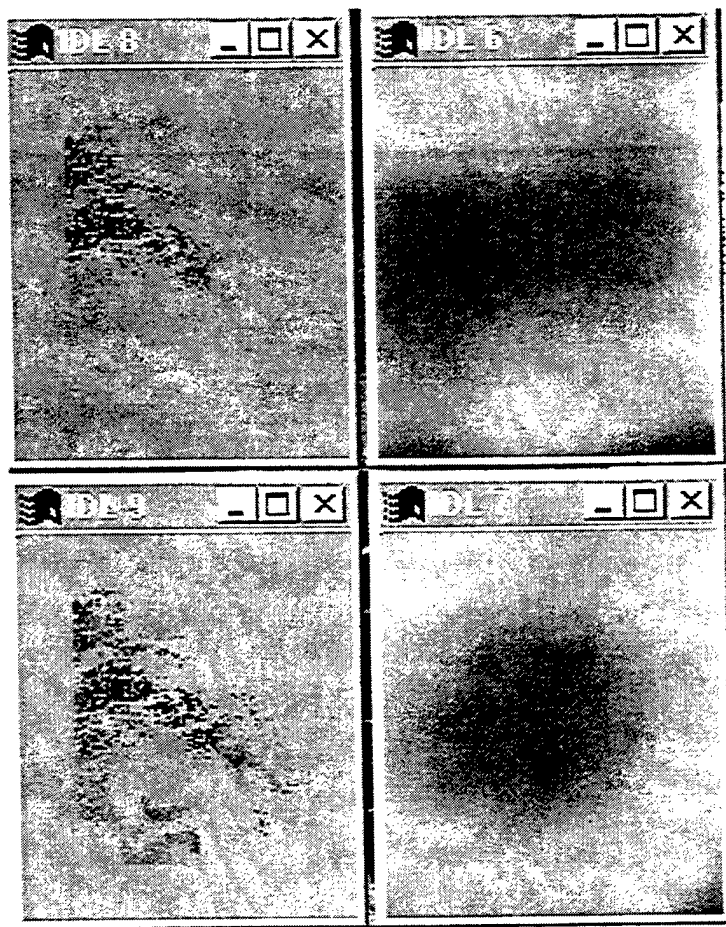
(c)   (d)

Figure 3-2. Cloud advection calculation using a 4th order fit for the EMDA.

TO

Truth

Old Method

TO

Truth

New Method
(order 4)

TO

Truth

New Method
(order 6)

Figure 3-3. Cloud advection results.

The routine *rurv* is basically divided into two parts. The first part identifies those pixels that are eligible for vector estimation and flags those that are not. The second part uses a standard correlation process to calculate one hour wind vectors from the unflagged pixels.

```
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
;routine rurv
; Calculate advection velocity
;   Dimensions:
;      Correlation window      (current image)   Ni x Ni where Ni = 2n+1
;      Correlation test area   (earlier image)   Nm x Nm where Nm = 4n+1
;                                                        = 2m+1
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
      rurvfilename = string(t0day,t0hour,format='(i2.2,"-",i2.2,".rurv")')
      flagfilename = string(t0day,t0hour,format='(i2.2,"-",i2.2,".flag")')
      irurvfile=0
      iflagfile=0
      junk = findfile(rurvfilename,count=irurvfile)
      junk = findfile(flagfilename,count=iflagfile)


   n = 15
   moffset = 7
   print, 'n=',n
   m = n + moffset
   ni = 2*n+1
   nm = 2*m+1
   no = 2*moffset+1
   f = bytarr(nm,nm)
   w = bytarr(ni,ni)
   r = fltarr(no,no)
   cv = fltarr(no,no)
   c0 = reform(c0,imag_x,imag_y)
   c1 = reform(c1,imag_x,imag_y)
   toofar = 5.

   advectflags = fltarr(imag_x,imag_y)

   ru = intarr(imag_x,imag_y)
   rv = intarr(imag_x,imag_y)


;;;;;;;;;;;;;;;;;;;;;;;;;;
;;;;;;;;;;;;;;;;;;;;;;;;;;
; Calculation correlation offsets
;;;;;;;;;;;;;;;;;;;;;;;;;;

      if irurvfile eq 0 or iflagfile eq 0 then begin
      print,'Begining advection calculation'

      for xc = m,imag_x-1-m do begin
        for yc = m,imag_y-1-m do begin
          if c0(xc,yc) eq 0 then goto, j3

            w = c0(xc-n:xc+n,yc-n:yc+n)
            f = c1(xc-m:xc+m,yc-m:yc+m)
            for i = 0,2*moffset do begin
              for j = 0,2*moffset do begin
```

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```
        CORRELAT, w, f(i:i+2*n,j:j+2*n), cor, cov
        r(i,j) = cor
        cv(i,j) = cov
      endfor
    endfor
    rmx = max(r,k)
    ri = k mod no
    rj = k/no
    i = xc-moffset + ri        ; (i,j) is the point in c1 (earlier) that
    j = yc-moffset + rj        ; best correlates with (xc,yc) in c0 (current)

            ; new as of 24 May 1996
            advectflags(xc,yc) = rmx


; Check for bad points or unrealistic displacements

    if cv(k) lt 500. then begin
      advectflags(xc,yc) = 0.
      goto, j3
    endif
    if rmx lt .3 then begin
      advectflags(xc,yc) = 0.
      goto, j3
    endif
    if abs(xc-i) gt toofar or abs(yc-j) gt toofar then begin
      advectflags(xc,yc) = 0.
      goto, j3
    endif


; Calculate wind vectors

            if advectflags(xc,yc) eq 0. then begin
              ru(xc,yc) = 0
              rv(xc,yc) = 0
            endif else begin
    ru(xc,yc) = xc-i
    rv(xc,yc) = yc-j
            endelse

j3:
    endfor
    print,FORMAT='(" .",$)'
  endfor
  print,"
  print,'End of correlation'


; Perform housekeeping

    junk = where(advectflags ne 0,njunk)
    print,'Number of non-zero weights: ',njunk
    junk = where(c0 ne 0,njunk)
```

```
        print,'Total cloudy pixels: ',njunk
        junk = where(c0(m:imag_x-1-m,m:imag_y-1-m) ne 0,njunk)
        print,'Cloudy pixels in correlation area: ',njunk


; Store the north-south and east-west vectors  -- ru and rv -- and flags -- advectflags
     openw, 2, rurvfilename
     writeu, 2, ru
     writeu, 2, rv
     close,2

     openw, 2, flagfilename
     writeu, 2, advectflags
     close,2
   endif else begin
   print,'Reading in previously calculated ru/rv and flags'
        openr,1,rurvfilename
        readu,1,ru
        readu,1,rv
        close,1

        openr,1,flagfilename
        readu,1,advectflags
        close,1
   endelse


   PRO CORRELAT, X, Y, COR, COV
   ; Correlation and covariance subroutine

    on_error,2  ; Return to caller if an error occurs.

   ; Means
    nx = n_elements(x)
    xmean = total(x) / nx
    ymean = total(y) / nx

   ; Deviations
    xx = x - xmean
    yy = y - ymean

    tt = total(xx*yy)
    tx = total(xx^2)
    ty = total(yy^2)

   ; Correlation
    if tx eq 0 or ty eq 0 then cor = 0. else cor = tt / sqrt(tx*ty)

   ; Covariance
    cov = tt / (nx-1)

    return
    end
```

3.3.2 Advection Smoothing Listing.

Two routines are listed here. The first, *vector_smoothing* utilizes the ru, rv and flags output from Section 3.3.1 to calculate fitting coefficients for a smooth wind field according to the algorithm described in detail in Section 3.2. The second, *rurv_smoothed,* calculates the complete, smoothed wind vector field given a set of smoothing coefficients.

```
; routine vector_smoothing
; dmc 28 May 1996
; new smoothing/interpolating rurv section
print,'Reading in previously calculated ru/rv and flags'
        openr,1,rurvfilename
        readu,1,ru
        readu,1,rv
        close,1

        openr,1,flagfilename
        readu,1,advectflags
        close,1
    endelse

print,'Begin smoothing/interpolating of ru/rv'
    nb = (np + 1) * (np + 2) / 2
    ic = intarr(nb)
    jc = ic
    print,'Np: ',np
    print,'Nb: ',nb

  print,'Creating Ib and Jb'
    b = 0
    for i = 0, np do begin
      for j = 0, np - i do begin
        ic(b) = i
          jc(b) = j
          b = b + 1
      endfor
    endfor
    print,'Ib'
    print,ic
    print,'Jb'
    print,jc


    uu = 0.D
    vv = 0.D
    bu = dblarr(nb)
    bv = bu
    bb = dblarr(nb,nb)
```

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```
    badflag = .05
      advectflags(0,0:imag_y-1) = badflag
      advectflags(imag_x-1,0:imag_y-1) = badflag
      advectflags(0:imag_x-1,0) = badflag
      advectflags(0:imag_x-1,imag_y-1) = badflag

print,'Creating UU, VV, BU, BV, BB'

    snx = dblarr(2*np+1)
    sny = snx
    snx(0) = np
    sny(0) = np
for i = 1, 2*np do begin
    for j = 0, imag_x-1 do begin
        x = double(j)
      if j eq 0 then x = double(-50)
      if j eq imag_x-1 then x = double(j+50)
      snx(i) = snx(i) + (x/double(pixelscale))^i
    endfor
    for j = 0, imag_y-1 do begin
        y = double(j)
      if j eq 0 then y = double(-50)
      if j eq imag_y-1 then y = double(j+50)
      sny(i) = sny(i) + (y/double(pixelscale))^i
    endfor
endfor

    for i = 0L, long(imag_x-1) do begin
      for j = 0L, long(imag_y-1) do begin

        if advectflags(i,j) gt 0. then begin
        x = double(i)
        if i eq 0 then x = double(-50)
        if i eq imag_x-1 then x = double(i+50)
        x = x/double(pixelscale)

        y = double(j)
        if j eq 0 then y = double(-50)
        if j eq imag_y-1 then y = double(j+50)
        y = y/double(pixelscale)

          uu = uu + advectflags(i,j) * double(ru(i,j))^2.
          vv = vv + advectflags(i,j) * double(rv(i,j))^2.
            for bi = 0, nb-1 do begin
              wxibyjb = double(advectflags(i,j)) * x^long(ic(bi)) * y^long(jc(bi))
              bu(bi) = bu(bi) + double(ru(i,j)) * wxibyjb
              bv(bi) = bv(bi) + double(rv(i,j)) * wxibyjb
            endfor
      endif
      endfor
    endfor
```

```
   mostflag = 0.
  for i = 0L, long(imag_x-1) do begin
    for j = 0L, long(imag_y-1) do begin

        if advectflags(i,j) gt mostflag then begin
      x = double(i)
       if i eq 0 then x = double(-50)
       if i eq imag_x-1 then x = double(i+50)
       x = x/double(pixelscale)

      y = double(j)
       if j eq 0 then y = double(-50)
       if j eq imag_y-1 then y = double(j+50)
       y = y/double(pixelscale)

        af = advectflags(i,j) - mostflag
         for bi = 0, nb-1 do begin
                for bj = 0, bi do begin
                  bb(bi,bj) = bb(bi,bj) + double(af) * x^long(ic(bi)+ic(bj)) *
                y^long(jc(bi)+jc(bj))
                  endfor
          endfor
          endif
      endfor
   endfor

  for bi = 0, nb-1 do begin
    for bj = 0, bi do begin
      bb(bi,bj) = bb(bi,bj) + mostflag*snx(ic(bi)+ic(bj))*sny(jc(bi)+jc(bj))
    endfor
  endfor

for bi = 0, nb-2 do begin
   for bj = bi+1, nb-1 do begin
     bb(bi,bj) = bb(bj,bi)
   endfor
endfor

  nrml = total(advectflags)
  uu = uu / double(nrml)
  vv = vv / double(nrml)
  bu = bu / double(nrml)
  bv = bv / double(nrml)
  bb = bb / double(nrml)

  status = 0
  print,'Inverting BB'
  bbinv = invert(bb,status,double=1)
  if status eq 0 then print,'Inversion successful'
  if status eq 1 then begin
```

```
      print,'Inversion failed, singular matrix'
      retall
   endif
   if status eq 2 then print,'Inversion completed with loss of accuracy'

   acoef = dblarr(nb)
   bcoef = acoef
   sigmax2 = uu
   sigmay2 = vv

   for i = 0, nb-1 do begin
     for j = 0, nb-1 do begin
        acoef(i) = acoef(i) + bbinv(i,j)*bu(j)
        bcoef(i) = bcoef(i) + bbinv(i,j)*bv(j)
          sigmax2 = sigmax2 - bu(i)*bbinv(i,j)*bu(j)
          sigmay2 = sigmay2 - bv(i)*bbinv(i,j)*bv(j)
     endfor
   endfor
   print,'X Var = ',sigmax2
   print,'Y Var = ',sigmay2
   print,'acoef = ',acoef
   print,'bcoef = ',bcoef

; create a smoothed ru/rv for comparison purposes only

 rus = dblarr(imag_x,imag_y)
 rvs = rus
 for i = 0,imag_x-1 do begin
   for j = 0,imag_y-1 do begin
     x = double(i)
     y = double(j)
       rus(i,j) = rurv_smoothed(acoef,x,y,ic,jc,double(pixelscale))
         rvs(i,j) = rurv_smoothed(bcoef,x,y,ic,jc,double(pixelscale))
     endfor
  endfor

rurvsfilename = string(t0day,t0hour,format='(i2.2,"-",i2.2,".rurvs")')
 openw, 2, rurvsfilename
 writeu, 2, rus
 writeu, 2, rvs
 close,2
;



function rurv_smoothed, aa,xx,yy,iib,jjb,pixelscale

; dmc 28 May 1996
; return smoothed ru/rv

 retval = 0.D
 n = n_elements(aa)
```

```
sxx = xx / pixelscale
syy = yy / pixelscale
for i = 0, n-1 do begin
   retval = retval + aa(i)*sxx^iib(i)*syy^jjb(i)
endfor

return, retval
end
```

SECTION 4

PERSISTENCE ALGORITHM

Persistence is the tendency of weather to change slowly or to predictably repeat itself after some time interval. A forecast that merely persists current weather is usually the best short-term (0 to 3 hours) predictor. Some current tropical forecast models rely solely on simple persistence and a variation of it, diurnal persistence. Analyses by Salby, et al. (1991) indicate that a better persistence forecast might be obtained by including a more complete time history of cloud behavior. In particular, Salby, et al. noted strong regionally-dependent semi-diurnal and 4-day cycles associated with easterly waves in the tropics. A cloud history function that spans at least four days might improve forecasts.

The dominance of persistence in the SERCAA data areas is best represented by power spectral analysis. A complete description of the analysis is presented in Poehls, Crandall, O'Rourke and Heikes (1997). The results of the spectral analysis for EASA March 1993 tropical and mid-latitude ocean and land show a definite diurnal cycle over tropical land areas. No trends of any sort are apparent over ocean areas or at temperate latitudes. In fact, with the exception of the diurnal peaks, the spectra are representative of a white noise process with a very long term trend superimposed. The results for layers 3 and 4 represent pure white noise processes. These results do not preclude the presence of longer period cycles but more likely reflect poor resolution of the lower cloud layers by the SERCAA nephanalysis.

The proposed persistence modeling approach must be simplified based upon the above results. The proposed approach called for an auto-regressive model using a 6-day time series to capture the easterly wave 4-day cycle. The limited data supplied by DSWA clearly does not support such a model. Limited data also precludes model dependence upon geographic region and time of year. Given these constraints a simpler approach to a persistence model was adopted that only includes a 12 hour cloud history and an average diurnal input.

The 12 hour cloud history is simply input by including the current time cloud characterization along with a cloud characterization for 1, 3, 6, and 12 hours past. This data is meant to establish the near-time trend in cloud parameters.

The diurnal cycle in cloud parameters is input by averaging the cloud parameters from 24, 48, and 72 hours before the *forecast time*. This approach appears, and is, simple but was chosen for its robustness. The diurnal input can be averaged in several different ways and still be input. An adaptive recursive filter with a three day weight is an obvious choice for an operational system

but requires more data than was available to this analysis. The choice of weighting should be based upon information available upon longer term weather trends. This analysis used a simple three day average. A semi-diurnal or 4 day cycle can be input instead of the diurnal input.

Table 4-1 summarizes both the minimal and normal data requirements for the persistence algorithm. The minimum requirements refer to data requirements necessary for a cold start. Therefore the model can be started with only the previous day's data. Normal operation requires three previous days of data.

Table 4-1. Persistence model data requirements.

Minimum Requirements	Normal Requirements
t_0	t_0
t_0 - 1 (hours)	t_0 - 1 (hours)
t_0 - 3	t_0 - 3
t_0 - 6	t_0 - 6
t_0 - 12	t_0 - 12
$t_{forecast}$ - 24	$t_{forecast}$ - av (24, 48, 72)

Three quantities are input for each of the times (except diurnal) in Table 4-1. For each identified layer of clouds these include: (1) time delay from t_0; (2) cloud fraction at the time delay; (3) cloud top temperature at the time delay.

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SECTION 5

EVOLUTION ALGORITHM

Like persistence, the evolution algorithm depends on local characteristics such as topography, geography, latitude and time-of-day, but whereas the persistence and advection algorithms merely extrapolate cloud behavior in time and space, the evolution algorithm exploits atmospheric dynamics to predict clouds by engaging the output of a Numerical Weather Prediction (NWP) model. Since the military intends to consolidate all NWP functions under the Fleet Numerical Meteorological and Oceanography Center (FNMOC), and since NOGAPS is the Navy's global forecast model, it is likely that NOGAPS data will be the source of NWP data in future AF cloud forecast systems. Therefore, the decision was made to rely exclusively on NOGAPS as the source for NWP data.

Since NWP models generally do not predict clouds directly, it is necessary to relate the model output data to the cloud fields. The standard procedure for doing this is termed Model Output Statistics (MOS). The first step in the MOS approach is to define a set of *predictors* based on NWP forecast data. Predictors are not limited to NWP data and may include, for example, the current observed cloud fields. The predictors are then related to the forecast clouds (*predictands*) by means of a regression analysis on historical data. Our approach is similar except that we use a NN to relate predictors to predictands. The advantage of the NN approach is that possible nonlinear and cross-product relationships between predictors are automatically ferreted out by the NN to produce a better estimate of the predictand. The predictors are drawn from a pool of potential predictors that include elemental and derived variables based on NOGAPS data.

There is a large disparity in the resolutions of predictors based on NOGAPS data and predictands based on SERCAA data. NOGAPS provides a global analysis and a 12-hour forecast twice daily at 00 and 12 Z on a 2.5 × 2.5 degree latitude/longitude grid. The resolution at 60° N is 139 km, decreasing to 278 km at the equator. In contrast, SERCAA data is available hourly (nominally) and the resolution of 16th-mesh SERCAA data at 60° N is 23.8 km, increasing toward the equator. The current NOGAPS operational model is higher resolution (0.75 × 0.75 degree) but unfortunately no archived data is available for the 1993 and 1994 times corresponding to the SERCAA data sets.

Table 5-1 shows the variables considered in the search for cloud field predictors. The first 6 variables are elemental NOGAPS model output data. The remaining variables, beginning with

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divergence, are derived from the elemental variables. The height variable refers to the height of the pressure (hPa) surface. All variables, other than MSL pressure and surface (SFC) temperature, are defined on pressure surfaces listed across the top to the table. Vapor pressure (and thus relative humidity) is available only to 300 hPa. Divergence and vorticity are associated with vertical motion in the atmosphere at mid- to upper-latitudes and therefore likely to be correlated with clouds. Relative humidity is obviously linked with cloudiness. Temperature advection, vorticity advection, wind speed, and wind shear are often associated with developing storm systems. Temperature difference and thickness between pressure surfaces are measures of atmospheric stability.

Table 5-1. Evolution module predictors.[*]

PREDICTOR	HEIGHT																
	MSL	SFC	1000	850	700	500	400	300	200	150	100	50	70	20	30	10	925
PRESSURE	■	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□
HEIGHT			■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
TEMPERATURE		■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
VAPOR PRES		□	■	■	■	■	■	■	□	□	□	□	□	□	□	□	□
ZONAL VEL		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
MERIDNL VEL		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
DIVERGENCE		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
VORTICITY		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
REL HUMID			■	■	■	■	■	■	□	□	□	□	□	□	□	□	□
TEMP ADV		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
VORTICITY ADV		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
THICKNESS		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
WIND SPEED		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
WIND SHEAR		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
TEMP DIFF		□	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■

• Blocked area indicate the heights for which predictor data is available.

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Each predictor listed in Table 5-1 is used in three different ways. First, we simply take the predictor defined by the 12-hour forecast as it stands. Second, we subtract the zonal average from the 12-hour forecast value. Last, we define a trend based on the predictor at forecast time and its 12-hour forecast value. All calculations are performed on the NOGAPS 2.5 × 2.5 degree grid and interpolated to the SERCAA 16th-mesh grid. Predictors are only compared to total cloud fraction and no attempt is made to discriminate predictors as a function of cloud layer, height, geography, or latitude zone. The 3 forms of 15 predictors at 17 heights result in pool of 618 potential predictors (not all variables are available at all heights).

A matrix correlation between predictor and predictand identified the predictors that showed the highest degree of association with the predictands. The best correlated predictors produced by this analysis significantly differed from those ranked high based on the contingency table. Visual comparisons of predictor and predictand in both cases led us to choose correlation as the best measure of association.

The correlation between predictor and predictand was then calculated for all times in each data set. The absolute values of correlation were averaged and ranked. Predictors that were related were eliminated to reduce redundancy. For example, if vapor pressure and relative humidity at a given height were both found to be highly correlated with total cloud fraction, then only the higher ranked predictor was kept. Similarly, only the higher ranked zonal wind or total wind speed was kept, since the zonal wind vector usually accounts for most of the wind speed magnitude. Also, only the higher ranked fundamental variable or its zonal perturbation was kept, not both. Table 5-2 shows the 25 top-ranked predictors for the March and July EASA data sets.

Once the best predictors were identified, a set of vectors was generated for NN training. Each training vector contains 37 input and 16 output elements. The input elements consists of predictors (25), current cloud fraction fields (4), elevation (1), time-of-day (2), latitude (1), longitude (2) and terrain slope (2). The output elements are 4 cloud fraction fields at 3, 6, 9, and 12 hours (16). The 25 top-ranked predictors were first calculated on the 2.5 x 2.5 degree NOGAPS grid and then interpolated to the 16th-mesh SERCAA grid. Predictors were selected from 500 random locations within the region for each time in the data set. The times used for training are determined by the NWP forecast cycle. Only times where NWP data is available at the forecast time (Figure 5-1a) are used. The model has not been tested for times where NWP data is not synchronized with the forecast (Figure 5-1b). The last 12-hour period in the data set encompassing a NWP forecast cycle is reserved for validation. There are typically 15 times in each data set, excluding the last 12-hour period, where NWP data is synchronized with the

forecast time. As a result, the training set for each data set consists of about 7500 (500 × 15) training vectors.

Table 5-2. 25 top-ranked predictors for EASA data sets.

| MARCH			JULY		
400 hPa	VAPP	TREND	400 hPa	VAPP	
700 hPa	VOR		500 hPa	RH	
850 hPa	VOR		300 hPa	VAPP	
500 hPa	SPEED		850 hPa	U_GRD	
300 hPa	SPEED		925 hPa	U_GRD	
700 hPa	SPEED		700 hPa	RH	
200 hPa	SPEED		1000 hPa	U_GRD	
400 hPa	SPEED		700 hPa	U_GRD	
700 hPa	SHEAR		500 hPa	U_GRD	
100 hPa	T_DIF		300 hPa	VAPP	
925 hPa	VOR		850 hPa	SHEAR	
150 hPa	SPEED		400 hPa	VAPP	TREND
100 hPa	SHEAR		200 hPa	DIV	
500 hPa	VAPP	TREND	400 hPa	T_DIF	TREND
50 hPa	T_DIF –		925 hPa	HGT –	
10 hPa	U_GRD –	TREND	850 hPa	HGT –	
700 hPa	RH	TREND	850 hPa	RH	
200 hPa	T_DIF –	TREND	400 hPa	U_GRD	
500 hPa	VOR		1000 hPa	HGT –	
300 hPa	THICK	TREND	0 MSL	PRES –	
300 hPa	TMP	TREND	10 hPa	U_GRD	TREND
1000 hPa	VOR		925 hPa	DIV –	
400 hPa	TMP	TREND	1000 hPa	DIV –	
850 hPa	VOR	TREND	700 hPa	HGT –	
850 hPa	HGT –		50 hPa	U_GRD –	

a.
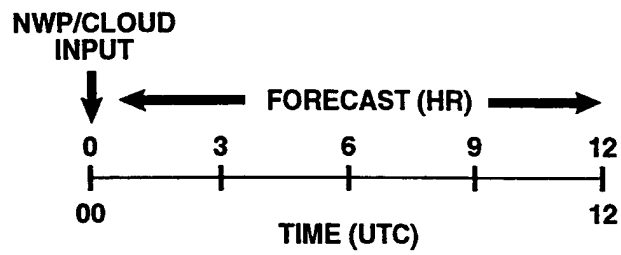
b.
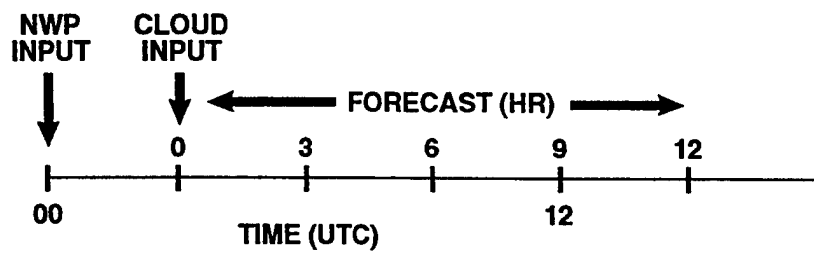
Figure 5-1. Evolution data feed: (a) forecast cycle tested in the current model configuration, (b) example of another forecast cycle the model must eventually handle.
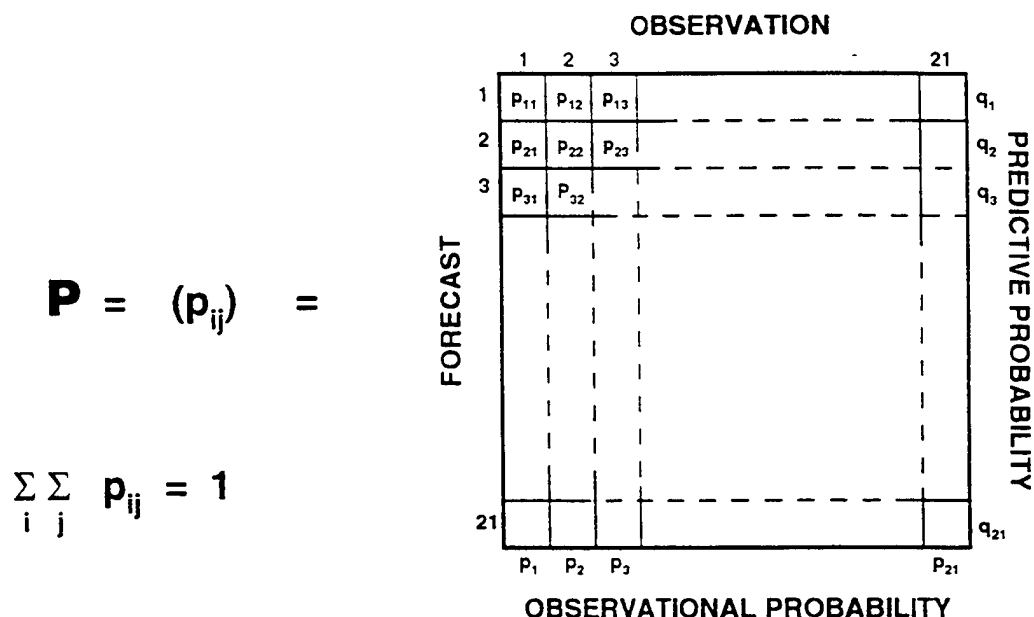
SECTION 6

SKILL SCORE ALGORITHMS

Skill scores provide a quantitative measure of model performance. Skill scores enable the comparison of forecast models based on alternate techniques and provide a means of measuring the effect of incremental improvements in the same model. The skill scores we have opted to use are the Equitable Skill Score (ESS), the 20/20 Score, and the Brier Score. We also look at the matrix correlation, global bias between forecast and observation, and forecast and observed sharpness. Sharpness is not strictly a performance statistic. It does not compare forecast to observational data. Rather it is a measure of the distribution of forecast or observed cloud field values taken individually.

The Equitable Skill Score (ESS), 20/20 Score, and Brier Score are all based on *performance matrices P* (Figure 6-1). A performance matrix is simply a normalized two-dimensional histogram of observed and forecast cloud field values. Each column *j* or row *i* represents a category of observation or forecast, respectively. For example, the columns might represent 5% increments in observed cloud fraction *CF*, with rows representing 5% increments in forecast *CF* as follows:

$$
\begin{aligned}
&\text{Category 1:} && 0.00 \quad CF < 0.05 \\
&\text{Category 2:} && 0.05 \quad CF < 0.10 \\
&\text{Category 3:} && 0.10 \quad CF < 0.15 \\
&\quad\cdot \\
&\quad\cdot \\
&\quad\cdot \\
&\text{Category 20:} && 0.90 \quad CF < 0.95 \\
&\text{Category 21:} && 0.95 \quad CF < 1.00
\end{aligned}
$$

Each cell in the performance matrix contains the probability $p_{i,j} = n_{i,j}/N$ that, given observation j, the forecast will be i. Here n_{ij} is the number of forecasts i for observation j and N is the total number of cases $\sum n_{ij}$.

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$$\mathbf{P} = (p_{ij}) =$$

$$\sum_i \sum_j p_{ij} = 1$$

OBSERVATION

PREDICTIVE PROBABILITY

FORECAST

OBSERVATIONAL PROBABILITY

P   CONTINGENCY TABLE

p_{ij} RELATIVE FREQUENCY OF THE ith FORECAST FOR THE jth OBSERVATION

BIN	CLOUD FRACTION	CLOUD HEIGHT (m)
1	$0.00 < 0.05$	$0 < 675$
2	$0.05 < 0.10$	$675 < 1350$
⋮		
21	$0.95 < 1.00$	$12,825 < 13,500$

Figure 6-1. Performance matrix.

Skill score statistics are simply measures of the performance matrix probability distribution based on various *scoring matrices S*. A scoring matrix assigns a score to each cell in the performance matrix. An example of a scoring matrix is one that finds the relative frequency of correct forecasts (Figure 6-2). If the forecast is perfect, then all the entries in the performance matrix lie along on the diagonal where the forecast equals the observation. The scoring matrix shown in Figure 6-2 assigns a 1 to each correct forecast and 0 to all incorrect forecasts. Thus, *PS* = 1 for a perfect forecast. The problem with this scoring matrix is that no credit is given for forecasts that are approximately correct (near, but not on the performance matrix diagonal).

• HOW GOOD IS THE FORECAST?

• ONE OBVIOUS MEASURE IS THE RELATIVE FREQUENCY OF CORRECT FORECASTS

PERFORMANCE MATRIX

SCORING MATRIX

$$\textbf{SS} = \textbf{PS} = $$

P_{11}	P_{12}	P_{13}		
P_{21}	P_{22}			
P_{31}				

X

1	0	0	0	0
0	1	0	0	
0	0	1		
0	0			
0				

$$\textbf{SS} = \sum_i \sum_j \textbf{p}_{ij}\ \textbf{s}_{ij}$$

•. PERFECT FORECAST GIVES A SCORE OF 1

Figure 6-2. Skill scores.

One scoring matrix that credits nearly-correct forecasts is the 20/20 scoring matrix. The 20/20 score $S_{20/20}$ measures the fraction of forecasts that are within ± 20% (i.e., within 4 categorys) of the observed cloud field (Figure 6-3). The 20/20 scoring matrix $S_{20/20}$ is given by

$$S_{20/20} = (s_{ij}) = 1 \qquad \text{where max } (1, j\text{-}4) \leq i \leq \text{min } (21, j\text{+}4)$$

$$\text{and } j = 0, 1, ..., 21 \ . \tag{6.1}$$

NUMBER OF FORECASTS WITHIN 20% OF OBSERVATIONS

$$\mathbf{S} \;=\; (s_{ij}) \;=\;$$

1	1	1	1	1	0	0
1	1	1	1	1	1	0
1	1	1	1	1	1	
1	1	1	1	1		
1	1	1	1			
0	1	1				
0	0					
0						

$s_{ij} = 1$ WHERE max $(1, j-4) \le i \le$ min $(21, j+4)$; $j = 0,1,\ldots, 21$

FORECAST	SCORE*
PERFECT	1.00
RANDOM	0.38
AVERAGE	0.42

*ASSUMING EQUALLY LIKELY OBSERVATIONS

Figure 6-3. 20/20 score.

The 20/20 score is 1 for a perfect forecast. To understand the significance of the value of 20/20 score $S_{20/20}$ for an actual forecast, it is instructive to look at the 20/20 scores for random and constant forecasts. Consider a large number of equally likely observations. The probability of a particular observation falling in one of 21 possible performance categories is 1/21. For random forecasts, the probability of a forecast being in any one of 21 equally-sized categories is also 1/21. Therefore, the value of every cell in the performance matrix is $p_{ij} = 1/(21 \times 21)$ for a random forecast.

Now consider what happens if the forecast is always the same. Assume, for example, that a cloud fraction of 45 to 50% (category 10) is always forecast. Then, for equally likely observations $i = 1, 2, \ldots, 21$, the forecast probability is

$$p_{ij} = 1/21 \qquad j = 10$$

$$p_{ij} = 0 \qquad j \neq 10. \tag{6.2}$$

Applying the $S_{20/20}$ scoring matrix to the random and constant performance matrices defined above, yields $S_{20/20} = 0.38$ and 0.42, respectively. Notice that the score is nonzero even for arbitrary forecasts.

The Brier score S_{Brier} is a measure of mean-squared error, so is particularly sensitive to off-diagonal forecasts (Figure 6-4). The Brier scoring matrix S_{Brier} is defined

MEAN SQUARED DIFFERENCE BETWEEN
FORECAST AND OBSERVATION

$$\mathbf{S} = (s_{ij}) =$$

.0000	.0025	.0010		1.0000
.0025	.0000			
.0010				
1.0000				.0000

$$s_{ij} = (F_i - O_j)^2 \quad \text{WHERE} \quad F_i = \text{FORECAST}, \quad O_j = \text{OBSERVED}$$

FORECAST	SCORE*
PERFECT	0.00
RANDOM	0.18
AVERAGE	0.20

*ASSUMING EQUALLY LIKELY OBSERVATIONS

Figure 6-4. Brier score.

$$\text{SBrier} = (s_{i,j}) = (0.05)^2 (i - j)^2 \ . \tag{6.3}$$

The Brier score for a perfect forecast is 0. Assuming equally likely observations, the Brier score for random and constant performance matrices are 0.18 and 0.20, respectively. Again, the score for an arbitrary forecast is not the extreme error value (the extreme being 1).

As noted above, the 20/20 and Brier scores have the undesirable characteristic that constant and random forecasts can be credited with significant forecast skill. Moreover, these scoring matrices are inequitable in the sense that, in cases where not all observations are equally likely, constant forecasts of some events lead to better scores than constant forecasts of other events. It is therefore desirable to devise and a scoring matrix with the properties that (i) scores assigned to uncommon events, in terms of climatological probability, increase as climatological probability decreases and (ii) scores of zero are assigned to random and constant forecasts.

An Equitable Skill Score (ESS) matrix has been formulated by Gandin and Murphy (1992) and Gerrity (1992). A climatological probability vector can be defined from the performance matrix as the probability of occurance of the jth observation

$$p = (p_j) = \sum_i P_{ij} \ . \tag{6.4}$$

Similarly, a predictive probability vector can be defined as the probability of occurance of the ith forecast

$$q = (q_i) = \sum_j P_{ij} \ . \tag{6.5}$$

Now define

$$D_n = \frac{1 - \sum_{r=1}^{n} p_r}{\sum_{r=1}^{n} p_r} \tag{6.6}$$

$$R_n = \frac{1}{D_n} \ . \tag{6.7}$$

R_n is the ratio of the probability that an observation falls in a category greater than n to the probability that it falls into a category less than n. Following Gerrity, the ESS scoring matrix $S_{ESS} = (s_{i,j})$ is constructed as follows

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$$S_{n,n} = K \left[\sum_{r=1}^{n-1} R_r + \sum_{r=n}^{K-1} D_r \right] \quad n = 1,2,...,K .$$
(6.8)

$$S_{m,n} = K \left[\sum_{r=1}^{m-1} R_r + \sum_{r=m}^{n-1} (-1) + \sum_{r=n}^{K-1} D_r \right] \quad 1 \leq m < K, \ m < n \leq K$$
(6.9)

$$S_{n,m} = S_{m,n} \quad 2 \leq n \leq K, \ 1 \leq m < n$$
(6.10)

$$K = \frac{1}{K-1} .$$
(6.11)

S_{ESS} has the desirable properties that, when multiplied by the performance matrix, perfect forecasts score 1, and random and constant forecasts score 0.

Another forecast skill diagnostic is sharpness. Sharpness is not a skill score but a measure of the individual cloud cover distribution of observed and forecast clouds. It measures the relative frequency of cases occupying the extreme categories of 0- to 20% and 80 to 100% cloud fraction. Observed and forecast sharpness are

$$S_O = \sum_{i=1}^{5} p_i + \sum_{i=17}^{21} p_i$$
(6.12)

$$S_F = \sum_{i=1}^{5} q_i + \sum_{i=17}^{21} q_i$$
(6.13)

Individual sharpness values have limited diagnostic utility. Only the relative values of observed and forecast sharpness have meaning. Most cloud forecast techniques tend to forecast mid-range cloud amounts. Comparing observed and forecast sharpness indicates whether the forecast model captures outlying cloud distributions, or or whether it simply forecasts mid-range values. On the other hand, sharpness values can be misleading. For example, the sharpness for an observed 100% overcast and that for a 100% clear forecast are identical.

The last two forecast diagnostics are bias and correlation. Bias is simply the difference between observed and forecast values

$$B = \sum_{i=1}^{21} \sum_{j=1}^{21} \left(p_{ij} - q_{ij} \right) \quad . \tag{6.14}$$

Bias is zero for a perfect forecast. The matrix correlation C between forecast and observation is

$$C = \frac{\sum\limits_{i=1}^{N} \left(F_i - \overline{F} \right)\left(O_i - \overline{O} \right)}{\sqrt{\sum\limits_{i=1}^{N} \left(F_i - \overline{F} \right)^2 \sum\limits_{i=1}^{N} \left(O_i - \overline{O} \right)^2}} \quad . \tag{6.15}$$

where F_i and O_i are the forecast and observation cloud field values at N image pixels, respectively. The overbar indicates the mean values of these quantities. Correlation C is one for perfect forecast.

SECTION 7

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